JUN 1 3 2002

TATES PATENT AND TRADEMARK OFFICE

In re Application of: W. Scott Thielman and Robert M. Pricone

Application Serial No. 10/015,319

Filed: December 12, 2001

For: Process and Apparatus for Embossing Precise Microstructures and Embossing Tool for Making Same

Confirmation No. 4291 Art Unit: 1732

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, BOX \_\_DD

Washington, D.C. 20231:On June 6,

**INFORMATION DISCLOSURE STATEMENT** 

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Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir:

TC 1700, are as Applicants are submitting herewith patents and publications of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56. A list of this art is set forth in the attached form PTOL-1449.

It is respectfully submitted that the present application is patentable over these references, whether taken singly or in combination. Applicants are submitting these references for consideration by the Examiner and for purposes of entering these citations in the official file in order to comply with their duty of candor.

CH-1171819v1

While the information included in this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent or other art referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56 exists.

JUN 1 3 2007

Although applicants believe that no fee is required relative to this submission, the Examiner is hereby authorized to charge any fee which may be required for the proper filing of this Information Disclosure Statement to Deposit Account No. 10-1202.

Respectfully submitted,

Joseph H. Golant

Reg. No. 24,210

JONES, DAY, REAVIS & POGUE

77 West Wacker, Chicago, Illinois 60601-1692

Chicago, Illinois 60601-1692

(312) 782-3939

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OF TOE DEMARK

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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ATTY DOCKET NO. 013013-026-179

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|                     | 4 | 1_              | 9    | 3     | 3            | 4     | 2      | 3/18/80      | Kurt Held                  |             |          | _                    |    |
|                     | 4 | 2               | 5    | 3 _   | 3            | 9     | 1_     | 3/3/81       | Kurt Held                  |             |          |                      |    |
|                     | 4 | 2               | 8    | 3_    | 2            | 4     | 6      | 8/11/81      | Kurt Held                  |             |          |                      |    |
|                     | 4 | 2               | 8    | 5_    | 5            | 2     | 5      | 8/25/81      | Kurt Held                  |             |          |                      |    |
|                     | 4 | 2               | 9    | 9     | 5            | 5     | 1      | 11/10/81     | Kurt Held                  |             |          |                      |    |
|                     | 4 | 4               | 3    | 5     | 1            | 0     | 4      | 3/6/84       | Kurt Held                  |             |          | · · · · · ·          |    |
|                     | 4 | 4               | 6    | 6     | 8            | 4     | 7      | 8/21/84      | Kurt Held                  |             |          |                      |    |
|                     | 4 | 4               | 6    | 7     | 7            | 1_    | 8      | 8/28/84      | Kurt Held                  |             |          |                      |    |
|                     | 4 | 4               | 8    | 5     | 7            | 3     | 3      | 12/4/84      | Kurt Held                  |             |          |                      |    |
|                     | 4 | 4               | 9    | 4     | 6            | 4     | 8      | 1/22/85      | Kurt Held                  |             |          |                      |    |
|                     | 4 | 5               | 2    | 6     | 7            | 3     | 7      | 7/2/85       | Kurt Held                  |             |          |                      |    |
|                     | 4 | 5               | 3    | 7     | 8            | 1     | 0      | 8/27/85      | Kurt Held                  |             |          |                      |    |
|                     | 4 | 5               | 4    | 1_    | 8            | 8     | 9      | 9/17/85      | Kurt Held                  |             |          |                      |    |
|                     | 4 | 5               | 4    | 8     | 1            | 3     | 3      | 10/22/85     | Kurt Held                  |             |          |                      | ,  |
|                     | 4 | 5               | 5    | 7     | 7            | 7     | 8      | 12/10/85     | Kurt Held                  |             |          |                      |    |
|                     | 4 | 5               | 7    | 3     | 4            | 0     | 4      | 3/4/86       | Kurt Held                  |             |          |                      |    |
|                     | 4 | 5               | 7    | 9     | 6            | 1_    | 2      | 4/1/86       | Kurt Held                  |             |          |                      |    |
|                     | 4 | 5               | 8    | 9     | 9            | 4     | 8      | 5/20/86      | Kurt Held                  |             |          |                      |    |
|                     |   |                 |      |       |              | FOF   | REIG   | N PATENT     | DOCUMENTS                  |             |          |                      |    |
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Sheet 2 of 3

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE PADEMARK

OTTY DOCKET NO. 013013-026-179 APPLICATION SERIAL NO. 10/015,319

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT: W. Scott Thielman and Robert M. Pricone

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FILING DATE: GROUP 1732 December 12, 2001

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| 4               | 5                     | 9   | 9   | 9   | 4   | 0_   | 7/15/86   | Kurt Held  |   |  |                       |                |
| 4               | 6                     | 1   | 5   | 7   | 5   | 8  | 10/7/86   | Kurt Held  |   |  |                       |                |
| 4               | 6                     | 6   | 5   | 8   | 1   | 8  | 5/19/87   | Kurt Held  |   |  |                       |                |
| 4               | 6                     | 9   | 3   | 8   | 5   | 9  | 9/15/87   | Kurt Held  |   |  |                       |                |
| 4               | 6                     | 9   | 9   | 6   | 7   | 6  | 10/13/87  | Kurt Held  |   |  |                       |                |
| 4               | 7                     | 1   | 1   | 1   | 6   | 8  | 12/8/87   | Kurt Held  |   | . <del></del>  |                       |                |
| 4               | 7                     | 2   | 3   | 4   | 8   | 4  | 2/9/88  | Kurt Held  |   |  |                       | ,              |
| 4               | 7                     | 7   | 8   | 3   | 7   | 3  | 10/18/88  | Kurt Held  |   |  |                       |                |
| 4               | 8                     | 0   | 2   | 8   | 3   | 7  | 2/7/89  | Kurt Held  |   |  |                       |                |
| 4               | 8                     | 4   | 4   | 7   | 6   | 6  | 7/4/89  | Kurt Held  |   |  |                       |                |
| 4               | 9                     | 2   | 1   | 5   | 6   | 9  | 5/1/90  | Kurt Held  |   |  |                       |                |
| 4               | 9                     | 8   | 8   | 4   | 7   | 8  | 1/29/91   | Kurt Held  |   |  |                       |                |
| 5               | 0                     | 8   | 7   | 3   | 1   | 9  | 2/11/92   | Kurt Held  |   |  |                       |                |
| 5               | 0                     | 9   | 8   | 5   | 1   | 4  | 3/24/92   | Kurt Held  |   |  |                       |                |
| 5               | 1                     | 2   | 5   | 8   | 1   | 2  | 6/30/92   | Kurt Held  |   |  |                       |                |
| 5               | 1                     | 4   | 1   | 5   | 8   | 3  | 8/25/92   | Kurt Held  |   | RECI   | FIVE                  | )              |
| 5               | 1                     | 4   | 9   | 3   | 9   | 4  | 9/22/92   | Kurt Held  |   |  |                       |                |
| 5               | 2                     | 7   | 2   | 9   | 6   | 7  | 12/28/93  | Kurt Held  |   |  |                       |                |
| 5               | 3                     | 0   | 3   | 6   | 4_  | 4  | 4/19/94   | Kurt Held  |   | OFFICE OF  | PETITION              | IS             |
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Sheet <u>3</u> of <u>3</u>

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OF PATENT AND T

ATTY DOCKET NO. 013013-026-179

APPLICATION SERIAL NO. 10/015,319

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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APPLICANT: W. Scott Thielman and Robert M. Pricone

FILING DATE:

**GROUP 1732** December 12, 2001

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|                     |        | 5_          | 3               | 3_   | 0      | 5    | 9    | 5_     | 7/19/94         | Kurt Held                  |               |          |             |                     |  |
|                     |        | 5           | 3               | 5    | 2      | 3    | 2    | 1      | 10/4/94         | Kurt Held                  |               |          |             |                     |  |
|                     |        | 4           | 8               | 1    | 6      | 1    | 1    | 4      | 3/28/89         | Kurt Held                  |               |          |             |                     |  |
|                     |        | 4           | 8               | 2    | 6      | 5    | 6    | 0      | 5/2/89          | Kurt Held                  |               |          |             |                     |  |
|                     |        | 5           | . 0             | 3    | 8      | 5    | 9    | 3      | 8/13/91         | Christa Pankoke            |               |          |             |                     |  |
|                     |        | 5           | 0               | 9    | 4      | 3    | 0    | 8      | 3/10/92         | Raoul DeBrock              |               |          |             |                     |  |
|                     |        | 5           | 3               | 3    | 5      | 5    | 9    | 2      | 8/9/94          | Rolf Rottger               |               |          |             |                     |  |
|                     |        | 5           | 3               | 5    | 1      | 8    | 7    | 7      | 10/4/94         | Rolf Rottger               |               |          |             |                     |  |
|                     |        | 5           | 4               | 6    | 0      | 7    | 6    | 4      | 10/24/95        | Kurt Held                  |               |          |             |                     |  |
|                     |        | 5           | 5               | 5    | 8      | 0    | 1    | 6      | 9/24/96         | Raoul DeBrock              |               |          |             |                     |  |
| •                   |        | 5           | 6               | 0    | 1      | 0    | 1    | 5      | 2/11/97         | Rottger et al.             |               |          |             |                     |  |
|                     |        | 5           | 6               | 9    | 5      | 5    | 9    | 9      | 12/9/97         | Wemer Pankoke              |               |          |             |                     |  |
|                     |        | 5           | 7               | 1    | 1      | 8    | 3    | 8      | 1/27/98         | Werner Pankoke             |               |          |             |                     |  |
|                     |        | 5           | 7               | 1    | 3      | 2    | 7    | 1      | 2/3/98          | Raoul DeBrock              |               | RF       | CEI         | /ED                 |  |
|                     |        | 6           | 0               | 0    | 9      | 8    | 0    | 3      | 1/4/00          | Pankoke et al.             |               |          |             |                     |  |
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